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## **TRANSMITTAL FORM**

JUN 1 1 2002

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	08/997,489	
Filing Date	December 23, 1997	
First Named Inventor	Charles L. Dennis	
Group Art Unit	2167	
Examiner Name	Morse, G.	
Attorney Docket Number	51410/P003US/09705003	

ENCLOSURES (check all that apply)						
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group				
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences				
Amendment/Reply	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Petition	Proprietary Information				
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter				
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	X Other Enclosure(s) (please identify below)				
Express Abandonment Request	Terminal Disclaimer	SB/08 and 3 cited documents; postcard				
X Information Disclosure Statement	Request for Refund	RECEIVED				
Certified Copy of Priority Document(s)	CD, Number of CD(s)	JUN 1 8 2002				
Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	Technology Center 210				
SIGN						
3igh.	ATURE OF APPLICANT, ATTORNEY, OR A	- COLINI				
Firm FULBRIGHT & JAWORSKI L.L.P. or Michael J. Fogarty, III						
Signature Michaell Logy III						
Date May 30, 2002						

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H	Transmittal
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I	Dated: May 30, 2002 Signature: Lisa de (gudina (Lisa de Cordova)
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Docker No.: 07
51410/P003US/09705003
(PATENT)

JUN 1 1 2002

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of:

Charles L. Dennis

Application No.: 08/997,489

Filed: December 23, 1997

For: SYSTEM AND METHOD FOR CONTROLLING FINANCIAL

TRANSACTIONS OVER A WIRELESS

**NETWORK** 

Group Art Unit: 2167

Examiner: Morse, G.

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Technology Center 2100

#### **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents Washington, DC 20231

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Dear Sir:

**GROUP 360C** 

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of these documents accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued March 1, 2002, during the prosecution of European patent application No. 98 965 395.1, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the European Search Report and each document cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.



Application No.: 08/997,489



Docket No.: 051410/P003US/09705003

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380, under Order No. 51410/P003US/09705003. A duplicate copy of this paper is enclosed.

Dated: May 30, 2002

Respectfully submitted,

Michael J. Fogarty, III Registration No.: 42,341

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**Attorneys for Applicant** 





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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known				
Application Number 08/997,489				
Filing Date	December 23, 1997			
First Named Inventor	Charles L. Dennis			
Art Unit	2167			
Examiner Name	Morse, G.			
Attorney Docket Number	51410/P003US/09705003			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear

1

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Passages or Relevant Figures Appear	T®
	BA	EP 0 785 534 .	07/23/1997	Koninklijke PTT		$\Box$
	BB	WO 96/25828	08/22/1996	Nokia		

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	European Examination Report dated March 1, 2002 in EP 98 965 395.1	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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